**Early Help Audit-Moderator** 

# Audit details

**Child / Young person details**

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| **Name** | **Mosaic ID** | **Date Of Birth** | **Age** | **Allocated****Family****Worker** | **Allocated****Family****Worker team** | **Allocated****Family****Worker team manager** |
|   |   |   |   |   |   |   |

**This audit has been selected for moderation**

Yes

No

**Completed in conjunction with Family Worker**

Yes

No

Partial

Reason for not

not completing in

conjunction with

Family Worker

**Completed in conjunction with Auditor**

Yes

No

Partial

Reason for not

completing in

conjunction with

Auditor

**Do you need to end this step leaving the moderation abandoned?**

Yes

No

Reason abandoned

**Auditor**

Name

Worker ID

**Moderator**

**Did the Family Worker know the child and family well and could articulate clearly and**

**succinctly**

Yes

No

|  |  |
| --- | --- |
| **Name** | **Worker ID** |
|  |   |

**When auditing, it is really important to remember we are judging the service the child/ family received, not the individual worker. Also Refer to the practice standards to inform audit.**

# Audit

**1. Family Worker summary of the case.**

|  |
| --- |
|  Partial |

**2. Case details.**

Capture

summary

of child's

circumstances

being

audited

Date child last seen

**Seen in timescales in last 3 months**

Yes

No

**Seen alone at least twice in last 3 months**

Yes

No

**Chronology Updated in last 3 months**

Yes

No

**Does the chronology show significant events with impact for the child**

Yes

No

**Are the case details up to date such as d.o.b, address, relationships, professionals,**

**gender, ethnicity, disability, school, legal status etc**

Yes

No

**Genogram completed that reflects the family to inform assessment and planning**

Yes

No

Partial

Auditor’s comments on above case details:

Moderator’s comments on above case details:

**3. Have our interventions ensured the child is safe, and appropriate assessment and planning has taken place to identify risk or need and the support required.**

Refer to [EH Children and Families Assessment guidance](http://www.proceduresonline.com/dorset/cs/user_controlled_lcms_area/uploaded_files/Early%20Help%20Practice%20Standards%20July%202020.docx) This relates to the identification and timely assessment of the child and family's circumstances, crucially whether the child’s needs are being met as a result of the local authority actions and is being managed appropriately.

Considerations; Wider family and environmental factors taken into consideration? Tools used used to inform intervention e.g, graded care profile? Child seen/seen alone as part of assessment and views recorded. If the last assessment was over 6 months ago has the need/risk changed that requires an updated assessment? Did it provide good analysis and outcomes and links to. \* Relevant risks include children who experience and/or are at risk of: exploitation, neglect, emotional abuse, sexual abuse, physical abuse, domestic abuse, absconding (not exhaustive)

Auditor grading on above:

Requires improvement

Outstanding

Good

Inadequate

Auditor:

Comments

**Moderator: Grading on above**

Outstanding

Good

Requires improvement

Inadequate

**4. Direct work: Are interventions child centred with a clear understanding of the child’s lived experiences, Including, voice of the child to inform our planning and work.**

Has the child’s lived experience been understood and captured in their file? Have we responded appropriately to wishes and feelings? Does ethnic, cultural and diversity factors inform

interventions and are family members consulted? Is there evidence of effective direct work leading to sustained changes or impact for this child and their family? Child seen and seen alone? Tools used to inform intervention e.g, graded care profile?

Auditor grading on above:

Outstanding

Good

Requires improvement

Inadequate

Auditor:

Comments

**Moderator: Grading on above**

Outstanding

Good

Requires improvement

Inadequate

**5. Quality of management oversight and decision making is effective.**

Reflective discussions taking place and recorded in supervision/management oversight? is this regular and consistent, and facilitates decision making with timely SMART actions in the child’s time frame? Guidance and oversight that has prevented drift and delay for the child and appropriate plans that are child focused. (not commenting on the individual as this relates to our oversight improving outcomes)

**Auditor: Grading on above**

Outstanding

Good

Requires improvement

Inadequate

Auditor:

Comments

**Moderator: Grading on above**

Outstanding

Good

Requires improvement

Inadequate

**6. Appropriate plans in place that are reviewed timely and address the risk and/ or need identified.**

Does the plan address outcomes for the child, is the plan multi-agency/good links to community and universal services, is it SMART, when was the plan last updated, does the child and family inform the plan and do they have a copy? Regular TAFs taking place to review and update the plan, Has drift occurred? Should the plan be escalated or stepped down? Is risk/need clearly identified with appropriate actions and outcomes and impact understood? Do we have contingency plans in place?

**Auditor: Grading on above**

Outstanding

Good

Requires improvement

Inadequate

Auditor:

Comments

**Moderator: Grading on above**

Outstanding

Good

Requires improvement

Inadequate

**7. Is partner agency involvement well-co-ordinated and effective?**

Is consent for information-sharing well considered? Is joint work and information-sharing improving outcomes for this child?

Do the right agencies attend meetings such as TAF meetings? Are the right agencies involved and do we involve them appropriately? Also consider HERDA attendance (formerly MARAC) and coordination of EHCP and Dorset Family Matters(DFM).

Auditor grading on above:

Outstanding

Good

Requires improvement

Inadequate

Auditor:

Comments

**Moderator: Grading on above**

Outstanding

Good

Requires improvement

Inadequate

**8. Children living in a physical and emotional environment of good quality and in accommodation that meets their needs?**

Have we supported early identification, considered suitable tools to support in a strengths based way

**Auditor: Grading on above**

Outstanding

Good

Requires improvement

Inadequate

Auditor:

Comments

**Moderator: Grading on above**

Outstanding

Good

Requires improvement

Inadequate

Moderator comments on above:

**9a. Actions identified by Auditor: These must be realistic and achievable and in line with improving the quality of practice.**

|  |  |  |
| --- | --- | --- |
| **Action** | **By whom** | **By when** |
|   |   |   |

Auditor: Additional

considerations/

reflections that

are not a specific

action, for example

consideration needs

to be given to parent's

capacity to change

identified by the

Auditor.

**Auditor: As a result of this audit did your actions identify that a step up referral is**

**required**

Yes

No

**Auditor: Were any of the actions urgent to ensure or establish a child’s immediate**

**safety?**

Yes

No

**9b. Actions identified by Moderator: These must be realistic and achievable and in line with improving the quality of practice.**

|  |  |  |
| --- | --- | --- |
| **Action** | **By whom** | **By when** |
| Enter actions... |  |  |

Moderator: Additional

considerations/

reflections that

are not a specific

action, for example

consideration needs

to be given to parent's

capacity to change

identified by the

Moderator.

**Moderator: As a result of this audit did your actions identify that a step up referral is**

**required**

Yes

No

**Moderator: Were any of the actions urgent to ensure or establish a child’s immediate**

**safety?**

Yes

No

**Please ensure service manager is notified and you are updated that the action has been completed.**

**10. Moderator to ascertain Auditor's final reflection.**

**Auditor: Grading on above**

Outstanding

Good

Requires improvement

Inadequate

Auditor's

final

reflection

# Completed by Auditor for review by Moderator

**11. Auditor’s overall grading and reason.**

Ensure the audit guidance is utilised to identify the grading is **based on the outcomes and impact for the child, not individual managers or workers.**

**12. Family/Young Person Feedback: to be completed by the auditor.**

Auditor:

Comments

**A. Does your worker provide opportunities for you to share your views, wishes and feelings?**

**Young Person**

Yes

Sometimes

No

tact

Unable to con

Under age of 5 or not

appropriate to seek feedback

Young person

comments

**Family**

Yes

Sometimes

No

Unable to contact

Not appropriate to seek

feedback

**B. Do you feel included about decisions that involve you?**

**Young Person**

Yes

Sometimes

No

Unable to con

tact

Under age of 5 or not

appropriate to seek feedback

Young person

comments

**Family**

Yes

Sometimes

No

Unable to contact

Not appropriate to seek

feedback

**C. Tell us about how often you had contact with your worker, was it…**

**Young Person**

About right

Too much

Too little

Unable to con

tact

Under age of 5 or not

appropriate to seek feedback

Young person

comments

**Family**

About right

Too much

Too little

Unable to contact

Not appropriate to seek

feedback

**D. What difference has the support from your worker made to you and your family?**

**Young Person**

Got better

Stayed the same

Got worse

Unable to con

tact

Under age of 5 or not

appropriate to seek feedback

Young person

comments

**Family**

Got better

Stayed the same

Got worse

Unable to contact

Not appropriate to seek

feedback

**Moderator - Assessment of "not applicable" decision.**

Moderator - was it

appropriate not to

seek feedback from

young person or

family

# For completion by moderator

**13. Moderator’s overall grading and reason.**

**Moderator: Overall grading**

Outstanding

Good

Requires improvement

Inadequate

Moderator:

Overall

comments

Ensure the audit guidance is utilised to identify what grading should be utilised and is **based on the outcomes and impact for the child, not individual managers or workers**

**Should feedback be provided by the Auditor to the Family Worker's Team Manager?**

Yes

No

Feedback details

**Moderator**

Name

Date completed or

abandoned