



# **MSET**

(Missing, Slavery, Exploitation,  
Trafficking)

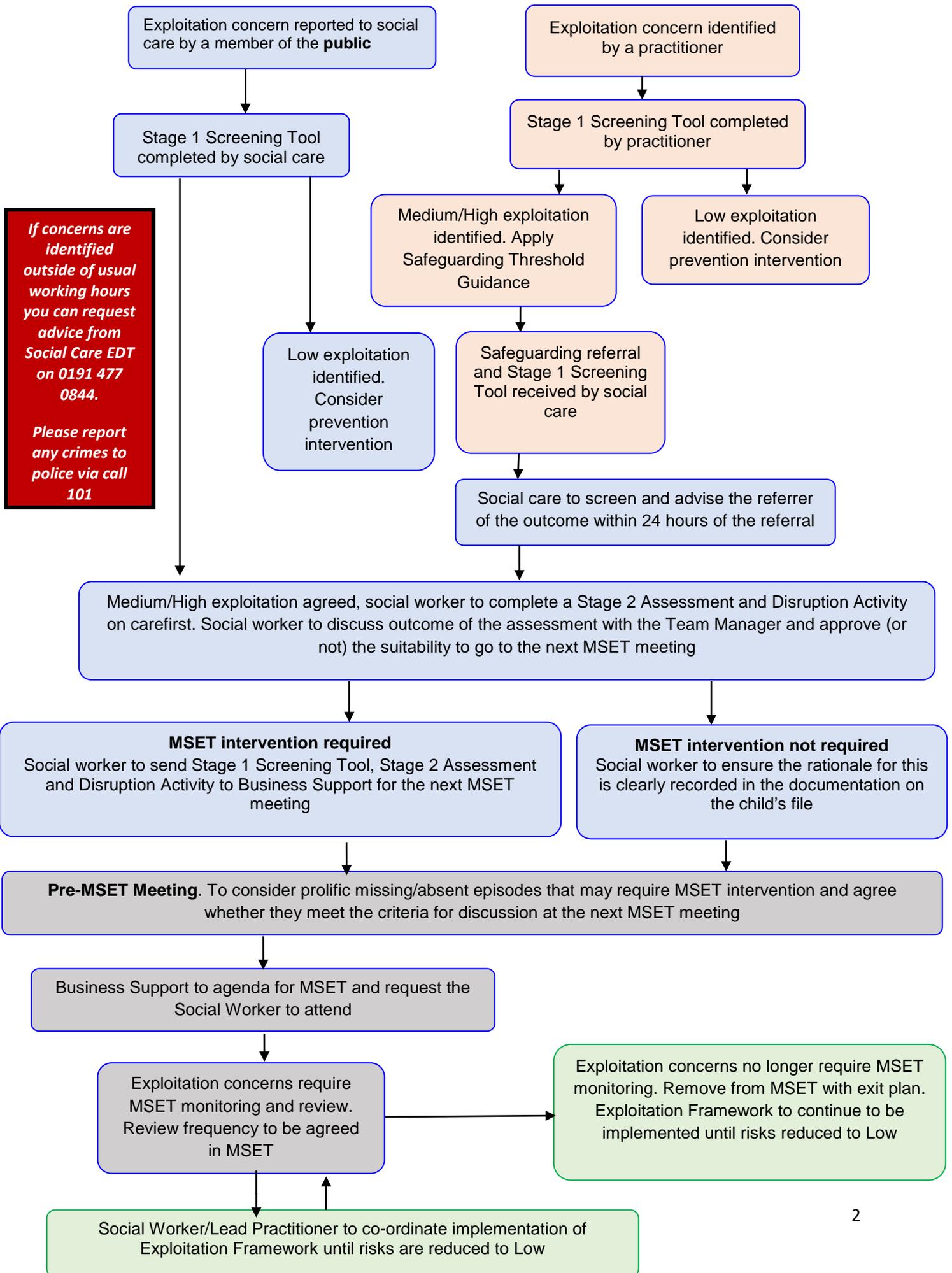
## **Exploitation Framework**

### **Screening, Assessment, Safeguarding and Disruption, and Review**

**Implementation: December 2018**

**Reviewed: October 2019**

# Exploitation Flowchart



## 1. Purpose of the framework

- 1.1 The Missing, Slavery, Exploitation and Trafficked (MSET) framework is designed to provide an effective response to vulnerable young people who are at an increased risk due to their vulnerability.
- 1.2 The purpose of the framework is to ensure that a coordinated response is undertaken when considering the vulnerability of individual young people who are deemed to be at medium or high risk of exploitation.

## 2. Definition

- 2.1 The definition of exploitation applied by the framework includes:

**Sexual exploitation** - sexual exploitation is a form of sexual abuse. It occurs where an individual or group takes advantage of an imbalance of power to coerce, manipulate or deceive a young person into sexual activity (a) in exchange for something the victim needs or wants, and/or (b) for the financial advantage or increased status of the perpetrator or facilitator. The victim may have been sexually exploited even if the sexual activity appears consensual. Sexual exploitation does not always involve physical contact; it can also occur using technology.<sup>1</sup>

**Criminal exploitation** - occurs where an individual or group takes advantage of an imbalance of power to coerce, control, manipulate or deceive a young person. The victim may have been criminally exploited even if the activity appears consensual. Criminal exploitation does not always involve physical contact; it can also occur using technology. Criminal exploitation is broader than just county lines<sup>2</sup> and includes for instance people being forced to work on cannabis farms or to commit theft.<sup>3</sup>

**Modern slavery** - Modern Slavery is a serious and often hidden crime in which people are exploited for criminal gain. The common factors are that a victim is, or is intended to be, used or exploited for someone else's (usually financial) gain, without respect for their human rights. The perpetrators seeking to take advantage of them could be private individuals, running small businesses or part of a wider organised crime network.<sup>4</sup>

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<sup>1</sup> Advice, Child sexual exploitation: Definition and a guide for practitioners, local leaders and decision makers working to protect children from child sexual exploitation. Department for Education. February 2017

<sup>2</sup> County lines is a major, cross-cutting issue involving drugs, violence, gangs, safeguarding, criminal and sexual exploitation, modern slavery, and missing persons

<sup>3</sup> Home Office (2018). Criminal Exploitation of children and vulnerable adults: County Lines guidance.

<sup>4</sup> Home Office (2017), Modern Slavery Awareness Booklet.

### 3. Responding to Concerns

- 3.1 **Stage 1 Screening** – The screening tool (appendix 1) must be completed by any professional who has concerns about exploitation of an individual. The factors of concern should be identified using (appendix 5) as a guide. The screening should include discussion and a record of the individual's and parents/carers views unless there are consent issues and/or it increases risk to the individual to do so. The overall summary of exploitation should be informed by the vulnerability indicators and imminence of exploitation risk. Where safeguarding thresholds are met the screening should be used to support a referral into Children's Social Care (CSC). If safeguarding thresholds are not met but there is medium level of concerns consent must be obtained to make a referral into Children's Social Care with a request to progress to a Stage 2 Assessment. If consent is refused, and concerns remain, advice and support should be sought.
- 3.2 If the screening reveals imminent risk to the individual and/or a crime has been committed, information must be immediately shared with Northumbria Police (999). Information shared for intelligence purposes should be sent to [forceintelligence@northumbria.pnn.police.uk](mailto:forceintelligence@northumbria.pnn.police.uk).
- 3.3 If the screening reveals concerns regarding risk of radicalisation as a form of exploitation professionals should refer to the Gateshead Prevent guidance [\*\*Prevent - Safeguarding Children and Young People against Radicalisation and Violent Extremism\*\*](#)
- 3.4 In line with GDPR<sup>5</sup> guidelines for processing information and to fulfil our legal responsibilities for safeguarding and crime prevention and detection the MSET business support must be sent all Stage 1 screenings.
- 3.5 **Stage 2 Assessment and Disruption Plan** – The CSC duty social worker (or child's social worker on open cases) must ensure consent has been obtained, unless safeguarding thresholds are met to commence a Stage 2 Assessment (appendix 2). Consent may be temporarily dispensed with for young people if notifying parents/carers is likely to hinder any investigation. Preferably the Stage 2 Assessment should be completed in a multi-agency forum e.g. care team, core group led by a social worker or as a minimum in consultation with key partners involved with the young person. The assessment involves completion of the Scoring Matrix, identifying strengths and concerns; inclusion of the individual's and parents/carers views; where applicable identification of diversity issues and how they impact upon safeguarding; and an overall analysis of vulnerability to inform professional judgement. To inform the assessment a minimum of one interview with the individual alone and an interview with the individual and parent/carer present is recommended, unless

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<sup>5</sup> General Data Protection Regulation (2018)

this increases risk to the individual. Where there are other agencies involved with the individual these agencies must be consulted (see appendix 5 for guidance on factors to consider to when identifying indicators of exploitation).

- 3.6 The Scoring Matrix consists of several different areas of strengths and vulnerability i.e. 0 = Strength/Protective factor, 1 = Some Concern (a general welfare concern which may increase risk of exploitation), 2 = Significant Concern (a general welfare concern and some indicators of a link to exploitation) and 3 = Significant Concern and direct evidence/substantiated information of exploitation (direct evidence/substantiated information may include disclosure from an individual which is under investigation, police evidence, medical evidence etc).
- 3.7 Where there are gaps in scoring, the relevant strength/vulnerability section should be left blank and action required recorded in the *Gaps in Assessment section*.
- 3.8 **Children under 13 cannot be assessed as low risk.** Children under 16 who have not demonstrated Gillick Competence cannot be assessed as low risk. Individuals who have been assessed as lacking mental capacity (MCA<sup>6</sup> 2005) associated with exploitation cannot be assessed as low risk.
- 3.9 The assessment should be integrated into other relevant statutory safeguarding procedures – it is not intended to replace these.
- 3.10 On completion of the assessment the duty social worker (or social worker on open cases) worker must also complete the Disruption Plan<sup>7</sup>, which is informed by the assessment.
- 3.11 Consideration must be given to issues of disclosure during the development of the Disruption Plan e.g. details of potential perpetrators, licensed premises etc. If issues of disclosure arise discussions should be held with Northumbria Police. The Disruption Plan should highlight those sections which have been shared with the individual and parent/s carers by ticking the appropriate section.
- 3.12 Upon completion of the Stage 2 Assessment and Disruption Plan, if the social worker, in consultation with the team manager, thinks the case should be referred for MSET intervention, they must send copies to the business support for the next MSET meeting<sup>8</sup>.

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<sup>6</sup> Mental Capacity Act 2005

<sup>7</sup> Practitioners are urged to refer to the Home Office CSE Disruption Toolkit (2019) when developing plans [https://assets.publishing.service.gov.uk/government/uploads/system/uploads/attachment\\_data/file/794554/6.5120\\_Child\\_exploitation\\_disruption\\_toolkit.pdf](https://assets.publishing.service.gov.uk/government/uploads/system/uploads/attachment_data/file/794554/6.5120_Child_exploitation_disruption_toolkit.pdf)

<sup>8</sup> Note: Any individual identified as high concern must be referred into MSET. Any individual assessed as a medium concern must be considered.

- 3.13 The social worker and MSET Operational Group members will be expected to attend the MSET meeting with relevant information to ensure exploitation concerns are shared and understood, risk levels are agreed and safeguarding, and disruption activity is robust in response to the concerns. A decision will be made to either maintain monitoring of the risk and disruption activity; escalate risk to the Strategic Group via the MSET chair or exit from MSET with a rationale and risk plan.
- 3.14 **Stage 3 Review Assessment** – Completion of the Review Assessment (appendix 3) must be completed by the social worker, or an alternative identified lead practitioner if concerns have been stepped down or closed. The first review should be completed within one month and subsequent reviews no less than every three months, or sooner if a significant event occurs which indicates changes to the level of concern. Assessment must be informed by analysing the impact of safeguarding and disruption activity, individual and parent/carers views and partner agency information.
- 3.15 Disruption activity must be reviewed as part of the Review Assessment to monitor progress against actions and determine if any changes are required.
- 3.16 During the Stage 3 Review process if concerns escalate to ‘high’ Safeguarding Procedures must be implemented. If concerns reduce to ‘low’ consideration should be given to exiting the individual from the Framework with a rationale recorded on case records. If consent is withdrawn at any stage and thresholds are not met to progress without consent, there should be a clear recorded entry to explain why the Framework has come to an end prior to concerns being reduced to low.

## Appendix 1 - Stage 1 Screening Tool

Individual's Name		DOB		AGE	
Alias					
Address					
Gender		Sexuality		Disability (if applicable)	
Language		Religion		Ethnicity	
Nationality		Immigration Status (if applicable)		NHS Number	
Parent/Carer with legal responsibility		Parent/Carer DOB		Parent/Carer Address	
Liquid Logic Ref (if applicable)		Current Social Care Status (if applicable)		Previous Social Care involvement (if known)	

Practitioner Completing the Screening		Completion Date	
Organisation			

Exploitation Type	√
Sexual Exploitation	
Modern Slavery	
Criminal Exploitation	
Other	

Vulnerability Indicators	√
Missing	
Changes to the individuals' physical, emotional or behavioural presentation	
Gang Association/Organised Crime	
Concerning associates/relationships with others, particularly those where there are existing CSE/SE and/or Trafficking, offending or vulnerabilities.	
Concerns about the use of social media/internet	
Concerns that the individual's lifestyle exposes them to harm	
Concerns regarding the quality of family/personal relationships	
Concerns regarding the individual's physical/sexual/mental health	
Concerns regarding drug and alcohol use	
Education/Training/Employment attendance and/or punctuality concerns	
Homeless or concerns that accommodation is unsuitable or unstable	
Trafficking	
Concerns regarding financial independence and/security	
Sex Work (adult only)	

**Individual's Views about exploitation and self-identified strengths/protective factors**

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**Parents/Carers Views about exploitation and identified strengths/protective factors**

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**Diversity** (include the relevance of age, gender, sexuality, religion, disability and any individual needs which might impact upon the effectiveness of safeguarding e.g. emotional maturity, mental capacity, learning needs etc)

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**Summary of Exploitation concern** (consider vulnerability indicators of vulnerability, diversity and individual views and self-identified strengths/protective factors)

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**Professional Judgement of Exploitation** (Note: Children Under 13 cannot be assessed as low. Children under 16 who have not demonstrated Gillick Competence cannot be assessed as low. Individuals who have been assessed as lacking Mental Capacity (MCA 2005) associated with exploitation cannot be assessed as low risk)

Low	
Medium	
High	

**Safeguarding and Disruption Action**

Action Required	Achieved √	Outcome
Discuss Screening with your Line Manager.		
Consider Safeguarding Guidance		
Report crimes/intelligence to Northumbria Police where applicable i.e.		
Call 999 if the crime is happening now.		
Call 101 for all other reported crimes.		
Email intelligence to: - <a href="mailto:forceintelligence@northumbria.pnn.police.uk">forceintelligence@northumbria.pnn.police.uk</a>		

## Appendix 2 - Stage 2 Assessment and Disruption Plan

Individual's Name		DOB		AGE	
Alias					
Address					
Gender		Sexuality		Disability (if applicable)	
Language		Religion		Ethnicity	
Nationality		Immigration Status (if applicable)		NHS Number	
Parent/Carer with legal responsibility		Parent/Carer DOB		Parent/Carer Address	
Liquid Logic Ref (if applicable)		Current Social Care Status		Previous Social Care status	

Stage 1 Author		Completion Date	
Organisation		Safeguarding Referral Date (if applicable)	

Stage 2 Author		Team		Completion Date	
Team Manager				Sign off Date	

Individuals who have contributed to Stage 2 Assessment	
Individual	
Parent/Carer	
Other	
Other	
Other	

Exploitation Type	√
Sexual Exploitation - Grooming (including online)	
Sexual Exploitation – Boyfriend/Girlfriend Model	
Sexual Exploitation – Party Model	
Sexual Exploitation – Organised Crime	
Sexual Exploitation - Institutional	
Sexual Exploitation - Trafficking	
Modern Day Slavery – Domestic Servitude	
Modern Day Slavery – Forced Labour	
Modern Day Slavery – Sexual Exploitation	
Modern Day Slavery – Human Trafficking	
Criminal Exploitation – Gangs and Organised Criminal Network	
Other	

Suspect/Offender details (including alleged offence details)

## Scoring Matrix – Strength and Vulnerability indicators

Note: only record in the evidence in the box which relates to the identified indicator.

Missing		
Score	Indicator	Evidence
0	No staying out late or missing episodes. Individuals whereabouts are regularly known and well managed	
1	Some concerns regarding late return home and/or missing episodes	
2	Significant concerns regarding late return home and/or missing episodes	
3	Direct evidence regarding late return home and missing episodes which are associated with exploitation.	

Individual's Presentation		
Score	Indicator	Evidence
0	No concerns regarding recent changes in the individual's physical, emotional or behavioural presentation which raise a concern. The individual's presentation and development are healthy and positively supported.	
1	Some concerns regarding recent changes in the individual's physical, emotional or behavioural presentation.	
2	Significant concerns regarding recent changes in the individual's physical, emotional or behavioural presentation.	
3	Direct evidence between concerns regarding recent changes in the individual's physical, emotional or behavioural presentation associated with exploitation	

Gangs/Organised Crime		
Score	Indicator	Evidence
0	No concerns regarding the individual's association with gangs, gang fights or gang membership. The individual has positive networks which promote a positive and safe lifestyle.	
1	Some concerns regarding the individual's association with gangs, gang fights or gang membership.	
2	Significant concerns regarding the individual's association with gangs, gang fights or gang membership.	
3	Direct evidence of the individual's association with gangs, gang fights or gang membership being associated with exploitation	

Associates		
Score	Indicator	Evidence
0	No concerns regarding the individual associating with those at risk of CSE/SE, offending or other assessed vulnerabilities/protective factor. The individual has associates who promote and engage in healthy and safe activities which support age appropriate development.	
1	Some concerns regarding the individual associating with those at risk of CSE/SE, offending or other assessed vulnerabilities.	
2	Significant concerns regarding the individual associating with those at risk of CSE/SE, offending or other assessed vulnerabilities.	
3	Direct evidence of the individual's association with peers at risk of CSE/SE, offending or other assessed vulnerabilities being associated with exploitation	

Social Media		
Score	Indicator	Evidence
0	No concerns relating to the individual's use of the internet or social media. The individual use of social media promotes opportunity for safe communications and is well supervised.	
1	Some concerns relating to the individual's use of the internet or social media	
2	Significant concerns relating to the individual's use of the internet or social media	
3	Direct evidence the individual's use of the internet and social media is associated with exploitation	

Lifestyle		
Score	Indicator	Evidence
0	No concerns that the individual's life style exposes them to risk/protective factor. The Individual engages in constructive use of time.	
1	Some concerns that the individual's life style exposes them to risk	
2	Significant concerns that the individual's life style exposes them to risk	
3	Direct evidence of exploitation and this impacting upon opportunities for the	

	individual to engage in a healthy lifestyle.	
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### Family/Personal Relationships

Score	Indicator	Evidence
0	No concerns regarding the quality and nature of family and personal relationships. Family and personal relationships promote healthy wellbeing/development.	
1	Some concerns regarding the quality and nature of family and personal relationships.	
2	Significant concerns regarding the quality and nature of family and personal relationships.	
3	Direct evidence family and personal relationships either fail to ensure the individual is protected from exploitation or contributes to exploitation.	

### Physical/Sexual/Mental Health

Score	Indicator	Evidence
0	No concerns regarding the individual's physical/sexual/mental health and awareness. The individual is healthy and engages in appropriate self-care.	
1	Some concerns regarding the individual's physical/sexual/mental health and awareness.	
2	Significant concerns regarding the individual's physical/sexual/mental health and awareness.	
3	Direct evidence that the individual's physical/sexual/mental health and awareness is associated with exploitation.	

### Drug and Alcohol

Score	Indicator	Evidence
0	No concerns regarding drug and/or alcohol use. The individual is aware of law and safe limits and applies knowledge to stay safe.	
1	Some concerns regarding drug and/or alcohol use.	
2	Significant concern regarding drug and/or alcohol use.	
3	Direct evidence that the individual's drug and/or alcohol use is associated with exploitation.	

Education/Training/Employment Attendance, Punctuality and Attainment		
Score	Indicator	Evidence
0	No ETE attendance, punctuality or attainment concerns. The individual makes use of ETE opportunities to provide structure and opportunities to achieve.	
1	Some ETE attendance, punctuality or attainment concerns	
2	Significant ETE attendance, punctuality or attainment concerns	
3	Direct evidence that the individual's ETE attendance, punctuality or attainment is associated with exploitation	

Homeless or Accommodation Stability and Suitability		
Score	Indicator	Evidence
0	No homeless or accommodation stability and/or suitability concerns. The individual has a safe, secure and stable place to reside.	
1	Some accommodation stability and/or suitability concerns.	
2	Significant accommodation stability and/or suitability concerns.	
3	Direct evidence accommodation stability and/or suitability is associated with exploitation.	

Trafficking		
Score	Indicator	Evidence
0	No concerns relating to trafficking. The individual is in control, aware of risks and makes positive choices to ensure safety.	
1	Some concerns relating trafficking	
2	Significant concerns relating to trafficking	
3	Direct evidence the individual/young person is being trafficked	

Financial Independence and Security		
Score	Indicator	Evidence
0	No financial independence or security concerns. The individual has financial control (or appropriate financial support where needed e.g. from family) and money is managed money well.	
1	Some financial independence or security concerns.	
2	Significant financial independence or security concerns.	

3	Direct evidence a lack of financial independence and/or security is associated with SE	
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<b>Overall Matrix Score (Children)</b>		<b>Level</b>	Low (0-13)	Med (14-26)	High (27-39)
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<b>Sex Work (adult only)</b>		
Score	Indicator	Evidence
0	No concerns regarding sex work and ability to acknowledge and identify risk and implement strategies to keep safe/protective factor.	
1	Some concerns regarding sex work and ability to acknowledge and identify risk and implement strategies to keep safe.	
2	Significant concerns regarding sex work and ability to acknowledge and identify risk and implement strategies to keep safe.	
3	Direct evidence sex work and lack of capacity or ability to acknowledge and identify risks and implement strategies to keep safe is associated with SE	

<b>Overall Matrix Score (Adults)</b>		<b>Level</b>	Low (0-14)	Med (15-28)	High (28-42)
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**Individual's Views about strengths and exploitation concerns**

**Parents/Cares Views about strengths and exploitation concerns**

**Diversity and Impact Upon Safeguarding (include the relevance of age, gender, sexuality, religion, disability and any other individual needs e.g. mental capacity, learning needs, emotional maturity, social care history/status, etc.)**

**Identified Gaps in Assessment and Action Required to Obtain Information**

**Analysis of Strengths and Identified Vulnerability** (consider strengths and concerns as evidenced within the Scoring Matrix, give regard to the impact of the individuals history and impact upon keeping safe e.g. LAC, parental neglect, history of abuse etc. Ensure consideration is given to any diversity issues and the impact upon safeguarding e.g. mental capacity, learning disability, emotional maturity etc. Include views and acknowledge the impact of any gaps).

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<b>Overall Professional Judgement of Exploitation</b> (Note: Children <b>Under</b> 13 and under 16 who have not demonstrated <b>Gillick Competence</b> <i>cannot</i> be assessed as low risk. Individuals who have been assessed as lacking <b>Mental Capacity</b> (MCA 2005) associated with exploitation <i>cannot</i> be assessed as low risk)	√
Low	
Medium	
High	

**Disruption Plan**

Date Risk Identified	Indicator of strength/concern requiring a response.	Safeguarding and Disruption Activity	Who by	When by	Activity has been shared with the individual (tick where relevant)	Expected outcome	Achieved ✓

Notes/Discussions (reflect progress and challenges/barriers to achieving progress)

Individual's views on the activity

Parent/carers views on the activity

Transition Planning if applicable
<i>Consider transition into adulthood/change of school or educational establishment/accommodation/move between service providers etc</i>

MSET Referral	Yes	No
<i>Rationale</i>		

Date agreed .....

Present and Signatories to the plan

.....  
 .....  
 .....  
 .....

**Appendix 3 – Stage 3 Review Assessment**

Individual's Name		DOB		AGE	
Alias					
Address					
Gender		Sexuality		Disability (if applicable)	
Language		Religion		Ethnicity	
Nationality		Immigration Status (if applicable)			NHS Number
Parent/Carer with legal responsibility		Parent/Carer DOB		Parent/Carer Address	
Liquid Logic Ref (if applicable)		Current Social Care Status		Previous Social Care status	

Review Author		Completion Date	
Team Manager		Organisation/Team	

Individuals who have contributed to the Review	
Individual	
Parent/Carer	
Other	
Other	
Other	

Exploitation Type	√
Sexual Exploitation - Grooming (including online)	
Sexual Exploitation – Boyfriend/Girlfriend Model	
Sexual Exploitation – Party Model	
Sexual Exploitation – Organised Crime	
Sexual Exploitation - Institutional	
Sexual Exploitation - Trafficking	
Modern Day Slavery – Domestic Servitude	
Modern Day Slavery – Forced Labour	
Modern Day Slavery – Sexual Exploitation	
Modern Day Slavery – Human Trafficking	
Criminal Exploitation – Gangs and Organised Criminal Network	
Other	

Suspect/Offender details (including alleged offence details)

## Scoring Matrix – Strength and Vulnerability indicators

*Note: only record in the evidence in the box which relates to the identified indicator*

Missing		
Score	Indicator	Evidence
0	No staying out late or missing episodes. Individuals whereabouts are regularly known and well managed	
1	Some concerns regarding late return home and/or missing episodes	
2	Significant concerns regarding late return home and/or missing episodes	
3	Direct evidence regarding late return home and missing episodes which are associated with exploitation.	

Individual's Presentation		
Score	Indicator	Evidence
0	No concerns regarding recent changes in the individual's physical, emotional or behavioural presentation which raise a concern. The individual's presentation and development are healthy and positively supported.	
1	Some concerns regarding recent changes in the individual's physical, emotional or behavioural presentation.	
2	Significant concerns regarding recent changes in the individual's physical, emotional or behavioural presentation.	
3	Direct evidence between concerns regarding recent changes in the individual's physical, emotional or behavioural presentation associated with exploitation	

Gangs/Organised Crime		
Score	Indicator	Evidence
0	No concerns regarding the individual's association with gangs, gang fights or gang membership. The individual has positive networks which promote a positive and safe lifestyle.	
1	Some concerns regarding the individual's association with gangs, gang fights or gang membership.	
2	Significant concerns regarding the individual's association with gangs, gang fights or gang membership.	
3	Direct evidence of the individual's association with gangs, gang fights or gang membership being associated	

	with exploitation	
Associates		
Score	Indicator	Evidence
0	No concerns regarding the individual associating with those at risk of CSE/SE, offending or other assessed vulnerabilities/protective factor. The individual has associates who promote and engage in healthy and safe activities which support age appropriate development.	
1	Some concerns regarding the individual associating with those at risk of CSE/SE, offending or other assessed vulnerabilities.	
2	Significant concerns regarding the individual associating with those at risk of CSE/SE, offending or other assessed vulnerabilities.	
3	Direct evidence of the individual's association with peers at risk of CSE/SE, offending or other assessed vulnerabilities being associated with exploitation	

Social Media		
Score	Indicator	Evidence
0	No concerns relating to the individual's use of the internet or social media. The individual use of social media promotes opportunity for safe communications and is well supervised.	
1	Some concerns relating to the individual's use of the internet or social media	
2	Significant concerns relating to the individual's use of the internet or social media	
3	Direct evidence the individual's use of the internet and social media is associated with exploitation	

Lifestyle		
Score	Indicator	Evidence
0	No concerns that the individual's life style exposes them to risk/protective factor. The Individual engages in constructive use of time.	
1	Some concerns that the individual's life style exposes them to risk	
2	Significant concerns that the individual's life style exposes them to risk	

3	Direct evidence of exploitation and this impacting upon opportunities for the individual to engage in a healthy lifestyle.	
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Family/Personal Relationships		
Score	Indicator	Evidence
0	No concerns regarding the quality and nature of family and personal relationships. Family and personal relationships promote healthy wellbeing/development.	
1	Some concerns regarding the quality and nature of family and personal relationships.	
2	Significant concerns regarding the quality and nature of family and personal relationships.	
3	Direct evidence family and personal relationships either fail to ensure the individual is protected from exploitation or contributes to exploitation.	

Physical/Sexual/Mental Health		
Score	Indicator	Evidence
0	No concerns regarding the individual's physical/sexual/mental health and awareness. The individual is healthy and engages in appropriate self-care.	
1	Some concerns regarding the individual's physical/sexual/mental health and awareness.	
2	Significant concerns regarding the individual's physical/sexual/mental health and awareness.	
3	Direct evidence that the individual's physical/sexual/mental health and awareness is associated with exploitation.	

Drug and Alcohol		
Score	Indicator	Evidence
0	No concerns regarding drug and/or alcohol use. The individual is aware of law and safe limits and applies knowledge to stay safe.	
1	Some concerns regarding drug and/or alcohol use.	
2	Significant concern regarding drug and/or alcohol use.	
3	Direct evidence that the individual's drug and/or alcohol use is associated with exploitation.	

Education/Training/Employment Attendance, Punctuality and Attainment		
Score	Indicator	Evidence
0	No ETE attendance, punctuality or attainment concerns. The individual makes use of ETE opportunities to provide structure and opportunities to achieve.	
1	Some ETE attendance, punctuality or attainment concerns	
2	Significant ETE attendance, punctuality or attainment concerns	
3	Direct evidence that the individual's ETE attendance, punctuality or attainment is associated with exploitation	

Homeless or Accommodation Stability and Suitability		
Score	Indicator	Evidence
0	No homeless or accommodation stability and/or suitability concerns. The individual has a safe, secure and stable place to reside.	
1	Some accommodation stability and/or suitability concerns.	
2	Significant accommodation stability and/or suitability concerns.	
3	Direct evidence accommodation stability and/or suitability is associated with exploitation.	

Trafficking		
Score	Indicator	Evidence
0	No concerns relating to trafficking. The individual is in control, aware of risks and makes positive choices to ensure safety.	
1	Some concerns relating trafficking	
2	Significant concerns relating to trafficking	
3	Direct evidence the individual/young person is being trafficked	

Financial Independence and Security		
Score	Indicator	Evidence
0	No financial independence or security concerns. The individual has financial control (or appropriate financial support where needed e.g. from family) and money is managed money well.	
1	Some financial independence or security concerns.	

2	Significant financial independence or security concerns.	
3	Direct evidence a lack of financial independence and/or security is associated with SE	

<b>Overall Matrix Score (Child)</b>		<b>Level</b>	Low (0-13)	Med (14-26)	High (27-39)
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<b>Sex Work (adults only)</b>		
Score	Indicator	Evidence
0	No concerns regarding sex work and ability to acknowledge and identify risk and implement strategies to keep safe/protective factor.	
1	Some concerns regarding sex work and ability to acknowledge and identify risk and implement strategies to keep safe.	
2	Significant concerns regarding sex work and ability to acknowledge and identify risk and implement strategies to keep safe.	
3	Direct evidence sex work and lack of capacity or ability to acknowledge and identify risks and implement strategies to keep safe is associated with SE	

<b>Overall Matrix Score (Adult)</b>		<b>Level</b>	Low (0-14)	Med (15-28)	High (28-42)
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**Individual's Views about strengths and exploitation concerns**

**Parents/Cares Views about strengths and exploitation concerns**

**Diversity and impact upon safeguarding (include the relevance of age, gender, sexuality, religion, disability and any other individual needs e.g. mental capacity, learning needs, emotional maturity, social care history/status, etc.)**

**Identified Gaps in Assessment and Action Required to Obtain Information**

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**Analysis of Strengths and Identified Concerns** (consider strengths and concerns as evidenced within the Scoring Matrix, give regard to the impact of the individuals history and impact upon keeping safe e.g. LAC, parental neglect, history of abuse etc. Ensure consideration is given to any diversity issues and the impact upon safeguarding e.g. mental capacity, learning disability, emotional maturity etc. Include views and acknowledge the impact of any gaps).

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Previous Matrix Score		Previous Overall Professional Judgement	
Current Matrix Score		Current Overall Professional Judgement	

**Note:** Children Under 13 and under 16 who have not demonstrated **Gillick Competence** *cannot* be assessed as low risk. Individuals who have been assessed as lacking **Mental Capacity** (MCA 2005) associated with exploitation *cannot* be assessed as low risk)

## Appendix 5

### Models of sexual exploitation

Nature/Model of Exploitation	Description
Peer on Peer	Individuals are sexually exploited by peers who are known to them at school, in the neighbourhood or through mutual friends.
Exploitation through befriending and grooming	<p>Individuals are befriended directly by the perpetrator (in person or online) or through other individuals and young people. This process may begin with a girl (or boy) being targeted and befriended by a young boy or girl usually known to her as an equal, i.e. a classmate, a friend of a sibling, or a neighbour.</p> <p>This introductory young person later introduces the individual to either one or more older men, whom s/he may describe as an older sibling or cousin. The older men offer the individual attention in the form of gifts, flashy cars, cigarettes, alcohol and drugs.</p> <p>To the individual, it is new and exciting. The older men treat the individual as an adult and deliberately portray her/his parents as unreasonable and overly-strict, should they seek to intervene.</p>
The 'boyfriend' model	Perpetrators target individuals posing as 'boyfriends', showering the individual with attention and gifts to cause infatuation. They initiate a sexual relationship with the individual, which the individual is expected to return as 'proof' of her/his love or as a way of returning the initial attention and gifts. The individual is effectively told that they owe the perpetrators money for cigarettes, alcohol, drugs, car rides etc. and that sexual activities are one way of paying it back
The 'party' model	<p>Parties are organised by groups of men to lure young people. Young people are offered drinks, drugs and car rides often for free. They are introduced to an exciting environment and a culture where sexual promiscuity and violence is normalised. Parties are held at various locations and individuals are persuaded (sometimes financially) to bring their peers along.</p> <p>Individuals are also encouraged to associate with others via Facebook etc. The parties may be held some distance from the individual's home, enabling the perpetrators to force the individual to have sex in return for a lift home. Drugs and alcohol are used to suppress the individual's resistance. Images may be taken of them without their clothes for purpose of future bribery.</p>
Human Trafficking	A person commits an offence if the person arranges or

	<p>facilitates the travel of another person (V) with the view to V being exploited. It is irrelevant whether V consents to the travel (whether v is an adult or a child). A person may arrange or facilitate V's travel by recruiting V, transporting or transferring V, harbouring or receiving V or transferring or exchanging control over v.</p> <p>A person arranges or facilitates V's travel with a view to V being exploited only if the person knows or ought to know that another person is likely to exploit v (in any part of the world) during or after travel the travel.</p>
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## Appendix 5

### Factors to consider when applying the Scoring Matrix

This list is not exhaustive or exclusive to exploitation and is for guide purposes only: -

Indicator	Factors to Consider (those * are a criminal offence and require reporting to the police)
<b>Missing from Home/Care</b>	<ul style="list-style-type: none"> <li>• Abducted or forced imprisonment*</li> <li>• Regularly coming home late or going missing (whether reported or not).</li> <li>• Returning home after long periods appearing well cared for.</li> <li>• Is evasive about who they were with when missing and where they have been/activities engaged in.</li> </ul>
<b>Individual's Presentation</b>	<ul style="list-style-type: none"> <li>• Changes to physical appearance i.e. new clothes, more/less makeup</li> <li>• Overt sexualised dress</li> <li>• More secretive/withdrawn or isolated from peers or not mixing with usual friends</li> <li>• Increasingly disruptive, hostile or physically aggressive at home or school including sexualised language</li> <li>• Suspicion of physical assault/unexplained injuries</li> <li>• Lack of engagement/communication with authority or concerning patterns to engagement.</li> </ul>
<b>Gang Association/Organised Crime</b>	<ul style="list-style-type: none"> <li>• Association with gangs</li> <li>• Fear of victimisation from other gangs</li> <li>• Constrained by gang rules</li> <li>• Fear of gang leaders</li> <li>• Familial links to organised crime</li> <li>• Associate links to OCG</li> </ul>
<b>Associates</b>	<ul style="list-style-type: none"> <li>• Displaying signs of harassment/unwanted attention</li> <li>• Unusual associations with others, particularly groups of adults.</li> <li>• Entering/leaving vehicles with unknown youth/adults</li> <li>• Introducing peers to perpetrators of exploitation.</li> </ul>
<b>Social Media</b>	<ul style="list-style-type: none"> <li>• Concealed/concerning use of the internet including web cam and online gaming.</li> <li>• Use of social media</li> <li>• Social media accounts</li> <li>• Evidence of sexual harassment through social media/networking sites *</li> </ul>
<b>Lifestyle</b>	<ul style="list-style-type: none"> <li>• Association at known exploitation hotspots</li> <li>• Involved in criminal activity/seeming willing participant in criminal activity.</li> <li>• Unexplained amounts of money, mobiles, credit, clothing, jewellery or other items/gifts</li> <li>• Having multiple phones or sim cards or use of a phone that suggests concern e.g. multiple callers or texts, increase in messages, conceals phone and usage.</li> <li>• Possession of hotel keys/cards of keys to unknown premises</li> <li>• Being taken to/visiting brothels/massage parlours</li> <li>• Frequenting areas known for on/off street sex work</li> </ul>

	<ul style="list-style-type: none"> <li>• Begging</li> <li>• Tapping</li> <li>• Carrying of Weapons</li> </ul>
<p><b>Family/Personal Relationships</b></p>	<ul style="list-style-type: none"> <li>• Reports of being taken to hotels, nightclubs, takeaway or out of areas by unknown adults</li> <li>• Associating/relationships with significantly older men or women who encourage emotional dependence, loyalty and isolation from safe relationships</li> <li>• Physical or emotional abuse by boyfriend/girlfriend or controlling individual including manipulation, violence and/or threats</li> <li>• Associating with other sexually exploited people</li> <li>• Evasive/concealing new relationships</li> <li>• Parents fail to understand or actively manage risks.</li> <li>• Lack of positive relationships with protective/nurturing adult</li> <li>• Recent experience of loss/bereavement</li> <li>• Isolation/distancing themselves from social or support networks including family and friends</li> <li>• Unsure of their sexual orientation or unable to disclose to their family/friends</li> <li>• Historic or current concerns about neglect, witnessing domestic violence, physical or sexual abuse.</li> <li>• Forced marriage/honour-based violence/familial sexual abuse*</li> <li>• Family history of exploitation or prostitution impacting upon family belief systems/behaviours which fail to protect.</li> <li>• Bullies others or and/or is a victim of bullying</li> <li>• Observed lack of relationship with parent/carer</li> <li>• Differing account of individual's circumstances provided between individual and significant adult.</li> <li>• Dependent upon another for care and support and needs, and these needs are not being met.</li> </ul>
<p><b>Physical/Sexual/Mental Health</b></p>	<ul style="list-style-type: none"> <li>• Increased health/sexual health related problems, including of complaining of illness without physical cause</li> <li>• Marks or scars of physical injuries on the body which they try to conceal</li> <li>• Expressions of despair e.g. depression, mental ill health, suicide thoughts, attempts, overdose, eating disorder</li> <li>• Increase in self-harming behaviour</li> <li>• Branding type marks on body i.e. gang logos</li> <li>• Repeat or unplanned pregnancy or pregnancies/terminations.</li> <li>• Under 13 engaging in penetrative or non-penetrative sexual activity*</li> <li>• Under 16 engaging in penetrative or non-penetrative sexual activity with a person over 18*</li> <li>• Under 18 engaging in unwanted sexual activity*</li> <li>• Sexually Transmitted Infections</li> <li>• Sexualised risk taking (including on internet or mobile such as texting, sending explicit messages or photos) *</li> <li>• Young gay/bisexual exploring sexuality in an unsupported way</li> <li>• Disclosure of sexual/physical assault followed by withdrawal of allegation</li> <li>• Reports of being taken to hotels, nightclubs and engaging in sexual activity</li> </ul>

	<ul style="list-style-type: none"> <li>• Sexual exploitation has been identified previously as a concern</li> <li>• Unexplained injuries</li> <li>• History of abuse</li> <li>• Unresolved trauma relating to bereavement/loss.</li> <li>• Mental Capacity concerns</li> </ul>
<b>Drug/Alcohol</b>	<ul style="list-style-type: none"> <li>• Drug or alcohol use is a primary means of recreation</li> <li>• Current substance/alcohol misuse in family</li> <li>• Drug/alcohol use within peer groups</li> <li>• Limited financial means to support drug or alcohol use</li> <li>• Effects of drug or alcohol use are clearly recognised</li> <li>• Active drug or alcohol seeking behaviour</li> <li>• Use that is associated with episodes of intoxication</li> <li>• Impairment in functioning in areas of life e.g. School, home or distress within families or close relationships due to substance use</li> </ul>
<b>Education/Training/Employment attendance, punctuality and attainment</b>	<ul style="list-style-type: none"> <li>• Exclusion from school</li> <li>• Unexplained absences</li> <li>• Not engaging in ETE</li> <li>• Behaviour changes in school</li> <li>• Missing from education</li> <li>• Learning disability/needs</li> </ul>
<b>Accommodation stability and suitability</b>	<ul style="list-style-type: none"> <li>• Living in a chaotic or dysfunctional household</li> <li>• Poor home conditions</li> <li>• Homelessness</li> <li>• Sofa surfing</li> <li>• Unable to access home for significant periods during the day or night.</li> <li>• Living in overcrowded multiple occupancy dwellings</li> <li>• History of local authority care/placement moves.</li> </ul>
<b>Trafficking</b>	<p>The child at the point of entry:</p> <ul style="list-style-type: none"> <li>• Entered illegally without passport or ID papers;</li> <li>• Has false papers, goods and money not accounted for;</li> <li>• Has no adult with them or to meet them;</li> <li>• Is with an adult who refuses to leave them alone;</li> <li>• Has no money but a working mobile phone;</li> <li>• Is reluctant to give personal details.</li> </ul> <p>Once in the UK the child:</p> <ul style="list-style-type: none"> <li>• Receives unexplained calls;</li> <li>• Has money from an unknown source;</li> <li>• Shows signs of sexual or physical abuse;</li> <li>• Has not been enrolled in a school or with a GP;</li> <li>• Seems to do work in various locations.</li> </ul> <p>The child's sponsor:</p> <ul style="list-style-type: none"> <li>• Has previously made multiple visa applications for other children or acted as guarantor; or</li> <li>• Is known to have acted as guarantor for others who have not returned to their countries of origin at the expiry of the visas.</li> </ul>
<b>Concerns regarding financial independence and/security</b>	<ul style="list-style-type: none"> <li>• Limited access to funds</li> <li>• Money not accounted for</li> <li>• Lack of control over funds</li> <li>• No source of income</li> <li>• Family struggling financially</li> <li>• debt</li> </ul>

<b>Sex Work</b>	<ul style="list-style-type: none"><li>• Failure to understand risks</li><li>• Failure to have regard for risk in keeping safe</li><li>• Lone working</li><li>• Lack of engagement with outreach workers</li><li>• Failure to consider sexual health</li><li>• Control/Coercion by another person</li></ul>
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## Appendix 7

### Civil Remedies , Tools and Powers to Tackle Nuisance

Securing prosecution and conviction must be the absolute priority against adults committing serious sexual offences upon individual children. However, where there is grooming, low level harassment or other behaviour which suggests a risk of CSE, civil remedies are available to the police and local authorities.

These include remedies which specifically relate to sexual offences or grooming and other wider nuisance and anti-social behaviour injunctive and closure powers. These powers are important not only as methods of disruption or prevention. They also function as a trail of concern to be used in subsequent prosecutions, providing third party evidence.

#### CIVIL REMEDIES

**An Individual Abduction Warning Notice (1)** authorised by an individual's parent and issued by the police (or the local authority in the case of a looked after individual aged 16-18) warns a suspected perpetrator to stop associating with a named individual. As such, the adult is made aware that a concern has been raised about the relationship and that authorities are watching.

Abduction Notices can be used as evidence in criminal proceedings that the adult knew the age of the individual, which is clearly stated on the warning notice. Moreover, breach of an Abduction Notice can become grounds for the issuing of the new Sexual Risk Order. There are new powers introduced by the Anti-Social Behaviour and Crime and Policing Act 2014(2):

**The new Sexual Risk Order (3)** (SRO) can be issued against an individual who has 'done an act of a sexual nature which suggests that they pose a risk of harm to the public in the UK or individual children and vulnerable adults abroad'.

An 'act of a sexual nature' has not been defined in the legislation and the guidance states this 'will depend to a significant degree on the individual circumstances of the behaviour and its context', which means this order may be used by police and the NCA to disrupt grooming activity. An SRO lasts a minimum of two years and has no maximum duration. Breach of an SRO is a criminal offence, which can attract a term of imprisonment of up to five years.

**The new Sexual Harm Prevention Order (4)** (SHPO) can be made against a person who has been convicted or cautioned in relation to a sexual offence to protect any members of the public in the UK, or vulnerable adults and individual children abroad, from sexual harm, including protecting individual children from grooming activity.

The SHPO must be made for a minimum of 5 years and can be made for an indefinite period if necessary. The order can contain any prohibitions aimed at protecting individual children and others. Breach of a SHPO is a criminal offence, which can attract a term of imprisonment of up to five years.

**Closure notice:** The police can issue a closure notice in respect of premises which they have reasonable grounds for believing have been, or are likely to be, used for activities related to specified individual sex offences. The changes mean that closure powers will now capture a wider range of offences relating to individual sexual exploitation and the police will be able to take proactive action if they believe the premise is going to be used for individual sex offences.

**Individual sexual exploitation at a hotel – requirement to disclose information or comply with notice served by police:** A police officer can serve a notice on a hotel requiring them to disclose the names and addresses of guests where there is reason to believe there is individual sexual exploitation. Failure to comply is a criminal offence.

## **TOOLS AND POWERS TO TACKLE NUISANCE**

The following gives an overview of some of the tools currently available to councils and police in relation to nuisance and anti-social behaviour:

- **Civil injunctions** under the Anti-Social Behaviour, Crime and Policing Act 2014 (previously Anti-Social Behaviour Orders, ASBOs). These are civil orders which replace the powers previously available to councils through ASBOS and Housing Act injunctions.
- **Closure Orders** associated with Nuisance and Disorder. Closure orders are civil orders available in the Magistrates Court which stop anyone entering or residing at a named property. There are three types of closure order - drug closure orders, brothel closure and anti-social behaviour closure orders.
- **Section 222 Local Government Act 1972.** A local authority can bring criminal or civil proceedings in its own name, including applying for injunctions, where it considers it expedient for the promotion or protection of the interests of the inhabitants of their area. Local authorities may use this provision to enforce their Children Act duties – as such wide-ranging injunctions could be obtained against known perpetrators.
- **Injunction under the High Court's inherent Jurisdiction.** The recent case of Birmingham City Council v Riaz demonstrates that the High Court is willing to exercise its inherent jurisdiction to grant Injunction Orders against perpetrators of CSE. It is important to note that the High Court does not have jurisdiction to attach powers of arrest to any term of the injunctions, which makes the policing of these orders very difficult.

- 1 Section 2 Individual Abduction Act 1984; Section 49 Children Act 1989.
- 2 The Anti-social Behaviour and Crime Policing Act 2014 came into effect on 8 March 2015.
- 3 Sexual Risk Orders replace Risk of Sexual Harm Orders.
- 4 Anti-social Behaviour and Crime Policing Act 2014.