Derby City and Derbyshire Thresholds Document

1. Introduction

This document has been developed and published by Derby and Derbyshire Safeguarding Children Boards in response to the requirements of Working Together to Safeguarding Children 2015. It replaces all previously published threshold documents.

Children, young people and their families will have different levels of needs and these may change over time. The majority of children and young people have low level needs that can be supported through a range of universal services. These services include education, early years, health, housing, youth services, leisure facilities and services provided by community organisations.

Some children may have additional emerging needs that can be co-ordinated through an early help assessment. Other children have more complex needs and may require access to specialist services, such as local authority (LA) children’s social care, to support them.

2. Who is this document for?

- Anyone who has a concern for a child to explain thresholds for early help services and LA children's social care.
- Practitioners who are in contact with children and families, and have a concern about a child or young person and want to know how they can get help.
- Service providers; in describing how thresholds should be applied to referrals they receive and therefore promote greater consistency between agencies.

All practitioners have shared responsibility in delivering timely, effective and seamless services to ensure that children's welfare is promoted and that they are protected from harm. It is important that children and their families receive the right help at the right time. When a child and their family require help from a range of services their experience should allow for smooth transitions in the child's journey through services.

3. Referral pathways and services

Referrals to services regarding concerns about a child typically fall into four levels:

1. Low level needs where need is relatively low and where individual services and universal services may be able to address the child's needs without the involvement of other services. (section 5)
2. Emerging needs where a range of early help services may be required, co-ordinated through an early help assessment where there are concerns for a child's well-being or a child's needs are not clear, not known or not being met. (section 6)
3. Complex or serious needs where without intervention the child would become at risk of significant harm or the needs are such that without intervention the child's health or development would be seriously impaired. Help is provided as a “child in
need" under Section 17 of the Children Act 1989 via a specialist in-depth assessment and following this at least initial co-ordination of services via a social worker. (section 7)

4. **Child protection concerns** where there is reasonable cause to suspect a child is suffering or likely to suffer significant harm because of abuse or neglect. Under Section 47 of the Children Act 1989 local authority children's social care must make enquiries and decide if any action must be taken to protect the child. (section 8)

Diagram representing the range of children and young people's needs across Derby and Derbyshire:

Work with families should always be underpinned by principles of working in partnership. Consent must be sought from parents / carers / young people to share information, unless there is a specific risk of harm to a child and / or sharing the information with the parents would place the child at further risk.

When a child has needs appropriate services should be provided to meet them. These should be at the lowest threshold level applicable to the level of the child's needs.

4. **How to decide whether to request support or make a referral**
   It is important to be clear about the purpose and intended outcome of the request or referral. It is helpful to consider:
   - What is life like for this child and their family? What are the child's wishes and feelings?
   - What are the parents/carers understanding of the situation and to what extent have they engaged with services?
   - What are the child's and family's strengths? Could these be utilised?
   - How serious are the needs and the concerns, are they new concerns or have they been present for sometime, how urgent are they? What is the impact, or potential impact, on the child?
   - What support and interventions have been offered previously? Did these make a difference? If not, why not?
   - What support and interventions can your agency offer this child and family? Could this address the needs or is support required from another agency? What support is needed and how will this address the needs?

If you have non urgent concerns it can be very useful to consult with other practitioners in the child’s network as this can support decision making.
Practitioners working with families where there is an unborn baby should also refer to the Derby and Derbyshire Multi Agency Protocol for Pre Birth Assessment and Interventions. This is located within the local safeguarding children procedures and LSCB websites www.derbyscb.org.uk and www.derbyshirescb.org.uk.

The thresholds indicators table in Appendix A gives an indication of thresholds through examples and has been developed to help practitioners in their decision making. It is not a definitive list; professional judgement should always be applied when deciding the level of intervention and where to refer.

When a practitioner is not sure about the level of needs and concern they should speak to their manager, named professional or agency lead for child protection. Alternatively, a practitioner can speak with a Social Worker by contacting:

- Derbyshire: Consultation and Advice Service at Starting Point ☎ 01629 535353
- Derby: First Contact Team ☎ 01332 641 172

Practitioners in all agencies have a responsibility to refer a child to LA Children’s Social Care when it is believed or suspected that the child:

- has suffered significant harm; or
- is likely to suffer significant harm.

They should refer to their local safeguarding process and/or the DSCB safeguarding children procedures as necessary.

All agencies use thresholds to consider whether a request for support will be accepted, whether an assessment will be undertaken, and what services will be offered or provided. Consultation with partner agencies involved with the family are also a key part of this process.

Requests for support/referrals made by telephone should be followed up in writing / by secure email within 48 hours using any existing assessment such as the early help assessment, or if the referral is to LA Children’s Social Care the child referral form. The outcome of a referral should always be followed up.

Once a request is accepted, agencies will carry out an assessment to identify the child’s level of need, strengths and risks. Services to be offered and any plan will be dependent on this assessment.

When a request does not meet the agency threshold, the agency will provide the practitioner making the request with information on more suitable resources and, where appropriate, pass the request to other services.

5. Low level needs (level 1)
Where need is relatively low, individual services and universal services may be able to meet these needs, take swift action and prevent those needs escalating. The Pre-Assessment Checklist helps practitioners identify and document low level needs or identify when an early help assessment may be needed and the action to be taken.
6. Emerging needs (level 2)
Emerging needs are when there are concerns about a child's well-being or when a child's needs are not clear, not known or not being met and a range of early help services are required. An early help assessment should be commenced to identify a child’s needs and strengths, and any appropriate services.

Practitioners should be alert to the potential need for an early help assessment for a child who:
- is disabled and has specific additional needs;
- has special educational needs;
- is a young carer;
- is showing signs of engaging in anti-social or criminal behaviour;
- is in a family circumstance presenting challenges for the child, such as substance misuse, adult mental health, domestic abuse; and/or
- is showing early signs of neglect or CSE.

Completing an early help assessment can also serve as a standardised request for support to other services, and when required can support a referral to LA Children's Social Care, however this is not a prerequisite for making a referral.

If the family do not consent to an early help assessment, then a judgement should be made as to whether without help the needs of the child will escalate. If so, a referral to LA Children's Social Care may be necessary.

See Guidance for completing an early help assessment, located on the early help assessment page of www.derbyscb.org.uk. Information about Derby Children's Services Multi Agency Teams (MAT's) and Vulnerable Children's meetings (VCM) is located on http://www.derby.gov.uk/health-and-social-care/safeguarding-children/safeguarding-for-professionals/.

Further information about early help assessments in Derbyshire can be found in the Early Help section of the Children and Young Adults (CAYA) procedures (http://derbyshirecaya.proceduresonline.com/chapters/p_early_help.html). Information about Derbyshire Multi Agency Teams (MAT's) is located on www.derbyshire.gov.uk/mat.

For information about making a referral to Children’s Social Care please refer to the Derby and Derbyshire Safeguarding Children procedures on www.derbyscb.org.uk, www.derbyshirescb.org.uk or via the direct link http://derbyshirescbs.proceduresonline.com/index.htm

    Derby:     First Contact Team - 01332 641 172
    Derbyshire: Starting Point - 01629 533 190

7. Complex or serious needs (Child in Need) that cannot be met through early help (level 3)
Complex or serious needs, where without intervention the child would become at risk of significant harm, will require a specialist in-depth assessment and co-ordination via a social worker. This can include issues which require a degree of urgency in their resolution, as well as children in private fostering arrangements and disabled children with
complex needs. It may also include children who have special educational needs, or because they are a carer or because they have committed a crime. This assessment is known as a child in need assessment.

The Children Act 1989, Section 17 states that a child shall be considered “in need” if:

- S/he is unlikely to achieve or maintain, or to have the opportunity of achieving or maintaining, a reasonable standard of health or development without the provision of services by a local authority;
- Their health or development is likely to be significantly impaired, or further impaired, without the provision of such services; and/or
- S/he is disabled.

Some children in need may require accommodation because there is no one who has parental responsibility for them or because they are alone or abandoned or because the person who has been caring for them is not or cannot provide them with suitable accommodation or care. Under the Children Act 1989, Section 20, the local authority has a duty to accommodate such children in need in their area.

Prior to the identification of serious or complex needs, most children will have had an early help assessment and plan as an attempt to address the issues at an earlier stage which has been unsuccessful in improving the situation. The early help assessment, plan and review documents will contribute to the LA social care assessment and analysis.

8. Child Protection Concerns / Section 47 (level 4)
Where there is an immediate need to protect a child because there is reasonable cause to suspect a child is at risk of significant harm, practitioners must contact LA children’s social care and/or the police directly and make a referral. Child protection concerns include concerns that a child is being subject to physical abuse, emotional abuse, sexual abuse/exploitation or is being neglected. A single traumatic event may constitute significant harm but more often it is a compilation of significant events, both acute and long standing, which interrupt, change or damage the child's physical and psychological development. It may also include serious events which have not yet occurred but may be imminent, such as forced marriage or female genital mutilation.

In all of these circumstances an early help assessment would not be appropriate. Where there are child protection concerns a strategy discussion involving the LA, police, health and if needed other agencies takes place to decide whether a Section 47 enquiry is required. The Section 47 enquiry is led by the local authority, with the help of other organisations, to find out what is happening to the child and whether protective action is required, including legal action.

The Children Act 1989, Section 47 states that where a local authority:

a) are informed that a child who lives or is found in their area
   i. is subject of an emergency protection order; or
   ii. is in police protection
b) have reasonable cause to suspect that a child who lives, or is found in their area is suffering or likely to suffer, significant harm

the authority shall make, or cause to be made, such enquiries as they consider necessary to enable them to decide whether they should take action to safeguard or promote the child's welfare. Where the LA decide, taking into account the views of others, that a child cannot safely remain at home, consideration will be given to other arrangements including
informal family options, Section 20 accommodation or an application to the courts under Section 31 of the Children Act 1989. In an emergency, an application may be made for an Emergency Protection Order (EPO) to allow a child to be temporarily removed from their parents care.


9. Children in Care
The LA has a duty to provide accommodation to children in care. These children are entitled to safeguarding processes to ensure that any issues arising in care are addressed as outlined in the Children Act 1989 and Care Planning Regulations. Where a child returns home there should be an assessment /plan subject to Care Planning Regulations 2010.

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<thead>
<tr>
<th>Version</th>
<th>Author/s</th>
<th>Updated by</th>
<th>Signed off by</th>
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<td>2.</td>
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<td>DSCB Policy Officer</td>
<td>DSCB Policy and Procedures Group</td>
<td>November 2015</td>
<td>November 2017</td>
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Appendix A  Thresholds Indicators Table

This table is intended to give an indication of thresholds through examples. It is NOT a definitive list and a professional judgement should be applied when deciding the level of intervention and where to refer.

Level 1: Low Level Needs – universal services
Most unborn babies, children and young people will have their needs met through universal services however some may need extra support. For example children / young people who:

- Are beginning to fall behind in their developmental milestones or learning or where school attendance, punctuality or behaviour has started to deteriorate;
- Have parents/carers who need additional support and/or advice with parenting;
- Would benefit from contact with community support services i.e. a children's centre;
- Have health issues which may require additional health services e.g. school nurse;
- There are low level concerns about radicalisation/extremism and Channel processes identify support via universal services;
- Require support to access services i.e. re-school settings, dental care or to attend routine appointments.

Level 2: Emerging Needs - Multi-agency assessment and support via early help assessment process
For example children / young people where there appears to be / is:

- Poor nutrition or inadequate clothing, poor home conditions or risk of homelessness;
- Low level self-harm or substance misuse;
- Family circumstances which present challenges for a child or unborn baby i.e. parental substance misuse, mental health problems or low level domestic abuse;
- Poor attendance, disengagement or at risk of exclusion from school or post 16 education, training or employment;
- Disabilities (low level needs) or health needs (chronic);
- Significant behavioural difficulties, or involvement in, or risk of, offending;
- Teenage pregnancy and parenthood, including the risk of early parenthood;
- A young carer who appears to be coping, or where a child or parent requests an assessment;
- Low level risk of child sexual exploitation or are beginning to go "missing";
- Concerns about extremism/radicalisation where Channel processes identify there are additional support needs;
- Parental conflict or lack of parental support/boundaries.

Level 3: Complex or Serious Needs - Specialist assessment and co-ordination via a Social Worker - "Child in Need" Section 17, Children Act 1989
For example children or young people:

- With a disability (medium/high level needs) or significant mental health needs;
- Who are misusing substances and there are concerning additional features and/or risk is increased as a result of age factors, impact or vulnerability issues;
- Enduring or a single serious incident of self-harm;
- Who are aged 16 plus and are homeless;
- At medium risk of child sexual exploitation or are persistently "missing";
- Who are young carers and are not coping and / or with unmet needs, or where a child or parent requests an assessment;
- Whose parents are experiencing difficulty in providing a reasonable standard of parenting, including parents who have a physical or learning disability, have mental ill health issues, are seriously ill or misuse substances (this includes unborn babies);
- Living in a situation where there is repeated or serious domestic violence (this includes
unborn babies) or are age 16 / 17 and are a victim / perpetrator of domestic abuse;
- Living in families where there is a likelihood of family breakdown;
- Whose behaviour has been sexually harmful;
- Where there are concerns about extremism/radicalisation with concerning additional features and/or risk is increased as a result of vulnerability factors (alongside Channel processes);
- Who are living in a private fostering arrangement;
- Where early intervention attempts to improve the situation have been unsuccessful, including where concerns about neglect have not resulted in consistent improvement or have declined.

**Level 4: Child Protection Concerns - specialist local authority enquiries and intervention - Section 47, Children Act 1989**

For example children or young people:
- With non-accidental, unexplained injuries or suspicious injuries;
- Who have alleged/disclosed abuse;
- Who are in contact with an individual identified as a risk to children;
- Who have suffered, or are suffering neglect or emotional abuse that is significantly impairing their development;
- Whose care is significantly affected by parental difficulties such as serious substance misuse, high risk domestic abuse, significant mental health issues or learning disability (this includes unborn babies);
- Who are imminent at risk of honour based violence, forced marriage or FGM;
- At high risk of child sexual exploitation or high risk "missing";
- Whose care is significantly affected by parental involvement in terrorist ideology or activities or where the child themselves are likely to suffer harm by their own involvement in extremism/terrorism (alongside Channel processes).
## Appendix B  Derbyshire: If you are concerned about a child, young person or family

### Step 1: What is the nature of your concern?

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### Step 2: What action should you take?

- **Low level needs**
  - Discuss with your manager how your own agency can address your concerns
  - Consider with the family what help may be needed
  - Develop a plan to address and review

- **Emerging needs**
  - Discuss with your manager
  - Talk with family and seek consent for early help assessment & seek other agency involvement
  - Develop an action plan, Team Around the Family (TAF) and review progress

- **Complex or serious needs**
  - Discuss concerns with your manager or safeguarding lead without delay
  - Talk with the family
  - Speak with a qualified Social Worker 01629 535353
  - Complete an electronic referral form
  - Make a referral to Starting Point

- **Child protection concerns**
  - Discuss concerns with your manager or safeguarding lead without delay
  - Talk with the family, unless this puts the child at risk
  - Make an immediate referral to Starting Point
  - Provide a copy of the early help or other assessment, & any plans if available

### Step 3: Follow up if you need to make a request for support or a referral

- **Low level needs**
  - Consider using pre-assessment checklist & request for support form
  - Consult with colleagues & establish if other agencies are involved

- **Emerging needs**
  - If TAF plan fails to achieve identified outcomes consider a request for support by completing an electronic referral form. Go to: [www.derbyshire.gov.uk/startinbgpoint](http://www.derbyshire.gov.uk/startinbgpoint)

- **Complex or serious needs**
  - Use electronic Referral form to contact Starting Point [www.derbyshire.gov.uk/startinbgpoint](http://www.derbyshire.gov.uk/startinbgpoint)

- **Child protection concerns**
  - Contact Starting Point immediately on ☎️ 01629 533190

At all stages follow up referrals in writing within 48 hours using any existing assessments and/or for Children’s Social Care, the child referral form. Ensure the outcome of the referral is followed up.
## Appendix C

### Derby City: If you are concerned about a child, young person or family

**Step 1: What is the nature of your concern?**

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**Step 2: What action should you take?**

- Discuss with your manager how your own agency can address your concerns
- Consider with the family what help may be needed
- Develop a plan to address and review

- Discuss with your manager
- Talk with family and seek consent for early help assessment & seek other agency involvement
- Develop an action plan, Team Around the Family (TAF) and review progress

- Discuss concerns with your manager or safeguarding lead without delay
- Talk with the family
- Make a referral to VCM or First Contact Team
- Provide a copy of the early help assessment & any plans, or other relevant assessments

- Discuss concerns with your manager or safeguarding lead without delay
- Talk with the family, unless this puts the child at risk
- Make an immediate referral to First Contact Team
- Provide a copy of the early help or other assessment, & any plans if available

**Step 3: Follow up if you need to make a request for support or a referral**

- Consider using pre assessment checklist & request for support form
- Consult with colleagues & establish if other agencies are involved

- Use early help assessment to request early help services directly or via the Vulnerable Children’s Meeting
- Seek advice from an Early Help Advisor

- Submit to Vulnerable Children’s Meeting (VCM) or Contact the First Contact Team

- Contact First Contact Team ☎️ 01332 641172 or
- Out of hours Careline ☎️ 01332 786968

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At all stages follow up referrals in writing within 48 hours using any existing assessments and/or for Children’s Social Care, the child referral form. Ensure the outcome of the referral is followed up.